

# Lecture 2 - Microcanonical Ensemble

Last time

Microstate:

$$\vec{X} = \{x_1, \dots, x_{3N}, p_1, \dots, p_{3N}\}$$

$A(\vec{X})$  measurable quantity

Observable quantity

$$\langle A \rangle = \int d\vec{X} P(\vec{X}) A(\vec{X})$$

$P(x)$  depends only on the  
Macro state

Eg const  $N, V, T$  [canonical ensemble]

$$P(x) = \frac{1}{Z} e^{-\beta H(x)}$$

$H \leftarrow$  total energy

$\beta \leftarrow \frac{1}{k_B T}$  high  $T$  - small  $\beta$

harmonic oscillator - 1d

$$H(x, p) = \frac{1}{2} kx^2 + p^2/2m$$

$$Z = \int_{-\infty}^{\infty} dx \int_{-\infty}^{\infty} dp e^{-\beta H(x, p)}$$

$$Z = 2\pi \frac{k_B T}{\omega}$$

$$\omega = \sqrt{k/m}$$

$$\langle E \rangle = \frac{1}{Z} \int d\vec{x} H(\vec{x}) e^{-\beta H(\vec{x})}$$

$$\langle E \rangle = - \frac{\partial \log Z}{\partial \beta} = - \frac{1}{Z} \frac{\partial Z}{\partial \beta}$$

$$= \frac{1}{Z} \int dx \mathcal{H}(x) e^{-\beta \mathcal{H}(x)}$$

norm,  
K

$$Z_{SHO} = 2\pi \frac{k_B T}{\omega} = 2\pi \cdot \frac{1}{\beta \omega}$$

$\langle E \rangle$

||

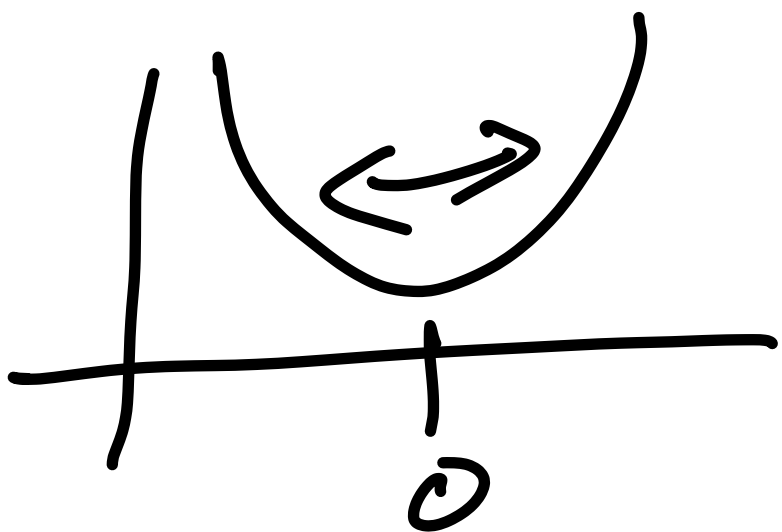
$$- \frac{\partial \log Z}{\partial \beta} = \frac{-\partial(-\log \beta)}{\partial \beta} = \frac{1}{\beta} = k_B T$$

$$\log Z = \log\left(\frac{2\pi}{\beta \omega}\right) = \log\left(\frac{2\pi}{\omega}\right) - \log \beta$$

$$\langle A \rangle = \frac{1}{Z} \int d\vec{x} A(\vec{x}) e^{-\beta H(x)} = \int dx A(x) P(x)$$

$$\langle x \rangle = \frac{1}{Z} \int_{-\infty}^{\infty} dx \int_{-\infty}^{\infty} dp x e^{-\beta \left[ \frac{1}{2} kx^2 + \frac{p^2}{2m} \right]}$$

$$u = x^2 \quad du = 2x dx$$



$$\langle u \rangle = \int dx \int dp \frac{1}{2} kx^2 e^{-\dots}$$

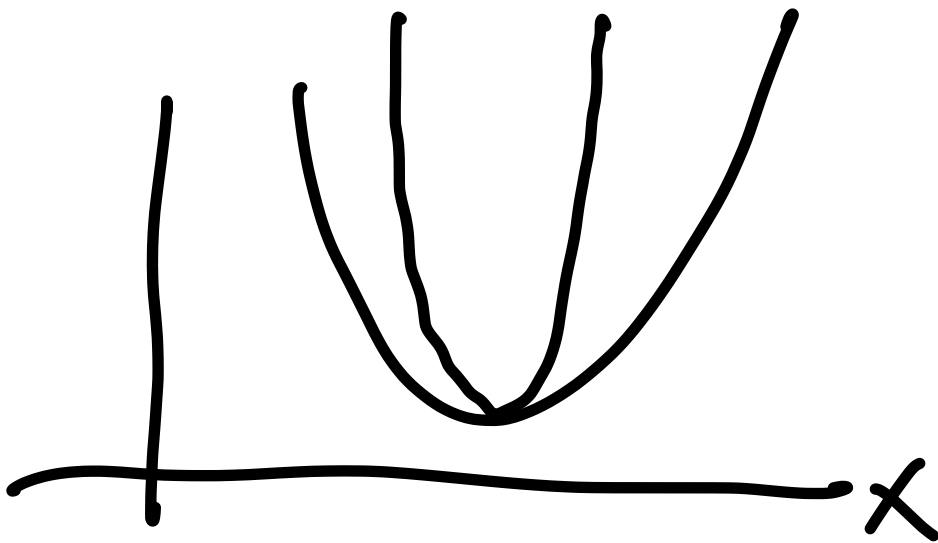
$$\int dx x^2 e^{-ax^2}$$

$$\langle \varepsilon \rangle = - \frac{\partial \log Z}{\partial \beta}$$

$$H = \frac{p^2}{4\pi e r}$$

can't  
solve  
directly

$$\langle \varepsilon \rangle = k_B T$$



$$Z = 2\pi \frac{k_B T}{\hbar \omega}$$

$Z \sim$  number of states

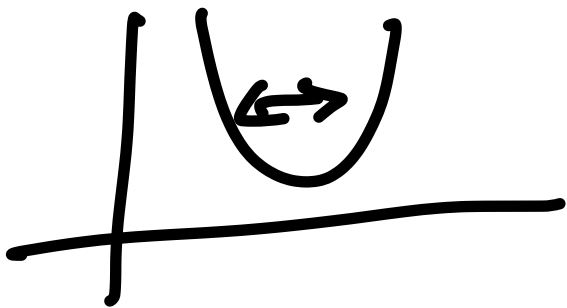
$\rightarrow$  particular state of system  
classical mechanics - continuous  
quantum mechanics - discretized

$$H = P^2/2m + \frac{1}{2}kx^2 \quad \leftarrow \text{S.H.O. quantum}$$

$$E_n = \hbar\omega \left(n + \frac{1}{2}\right)$$

$\hbar\omega/2 \leftarrow$  zero point energy

$\hbar\omega$



$$P(\text{state}) = \frac{1}{Z} e^{-\beta \mathcal{H}(\text{state})}$$

$$n = 0, \dots, \infty$$

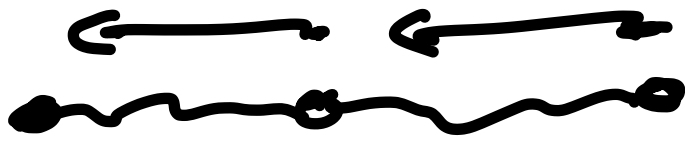
$$Z = \sum_{n=0}^{\infty} e^{-\beta \epsilon_n} = \sum_{n=0}^{\infty} e^{-\beta \hbar \omega (n + \frac{1}{2})}$$

$$\langle \mathcal{E} \rangle = \frac{1}{Z} \sum_{n=0}^{\infty} \epsilon_n e^{-\beta \epsilon_n}$$



HW: solve  $Z$  exactly  
 $\langle \mathcal{E} \rangle$  by  $-\partial \log Z / \partial \beta$





$$H = \sum_{i=1}^3 \vec{p}_i^2 / 2m_i + \sum_{i=1}^2 \frac{1}{2} k_i (x_i - x_0)^2$$

sum over springs

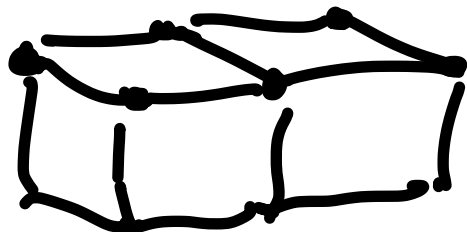
dist rest

$N$  H.O. in any dimensions  $\rightarrow$

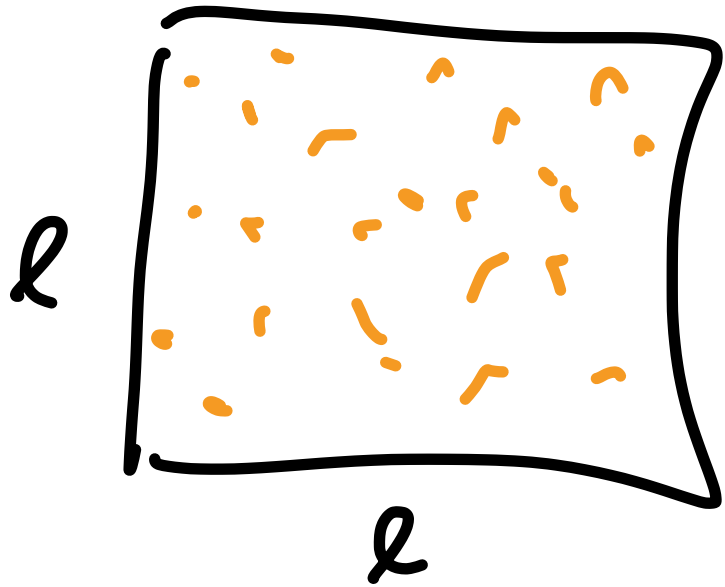
$$\sum_{i=1}^N \sum_{j=i+1}^N \frac{1}{2} k_{ij} (r_{ij} - r_{ij}^0)^2$$

$$E = \frac{1}{2} \sum_{i=1}^N k_i \tilde{u}_i^2$$

$\tilde{u}_i \sim$  normal mode



Return to system of  $N$  particles  
in a box



assert:

box is closed no  
exchange of  $m$ 's

is isolated  
no exchange of  $\mathcal{E}$   
(heat)

$N$  in box volume  $V = l^d$

$N$  particles follow Newton's  
equations of motion

Classical mechanics:

$$F_i = m_i \underline{a_i} \quad \vec{F} = m \vec{a}$$

$$X_0 = \{ \overset{x, y, z, \dots}{x_1, \dots, x_{3N}, \overset{p_x, p_y, p_z}{p_1, \dots, p_{3N}}} \} @ \text{time } 0$$

know  $X$  from  $t \in (-\infty, \infty)$

$$\Rightarrow \{ \overset{\vec{r}_1, \dots, \vec{r}_N}{(x, y, z)_1, \dots, (x, y, z)_N}, \overset{\vec{p}_1, \dots, \vec{p}_N}{(p_x, p_y, p_z)_1, \dots, (p_x, p_y, p_z)_N} \}$$

$$a_i = \frac{dv_i}{dt} = \frac{1}{m} \frac{dp_i}{dt} \leftarrow$$

$$F = -\nabla U(\vec{x}_1, \dots, \vec{x}_N) \leftarrow$$

$$\nabla = \left( \frac{\partial}{\partial x_1}, \frac{\partial}{\partial x_2}, \dots, \frac{\partial}{\partial x_N} \right)$$

$$F_i = m_i a_i = m_i \frac{d v_i}{d t} = m_i \frac{d^2 x_i}{d t^2}$$

$$v = \frac{dx}{dt} \quad \int_0^z dt v = \int_0^z dt \frac{dx}{dt}$$

$$\text{integrate: } x(z) - x(0) = v z$$

$$d = vt =$$

$$\left[ d = vt + \frac{1}{2} a t^2 \right]$$

$$a = \frac{dv}{dt} \Rightarrow v(z) - v(0) = a z$$

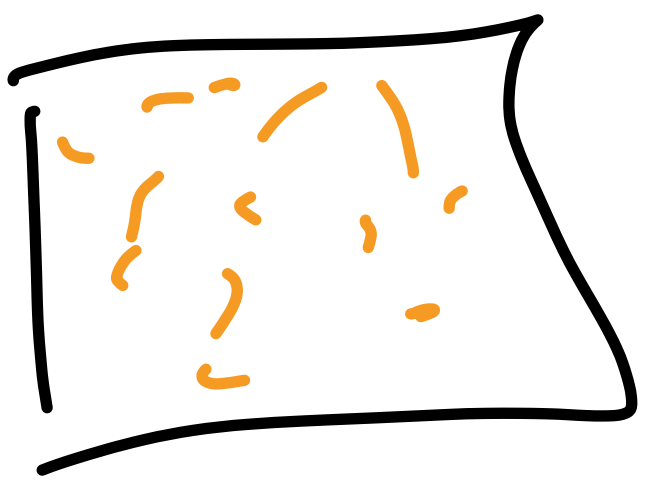
3-body problem



$$F = -\frac{\partial U}{\partial r} = \frac{GM_1 M_2}{|r_1 - r_2|^2}$$

$$U = -\frac{Gm_1 m_2}{r}$$

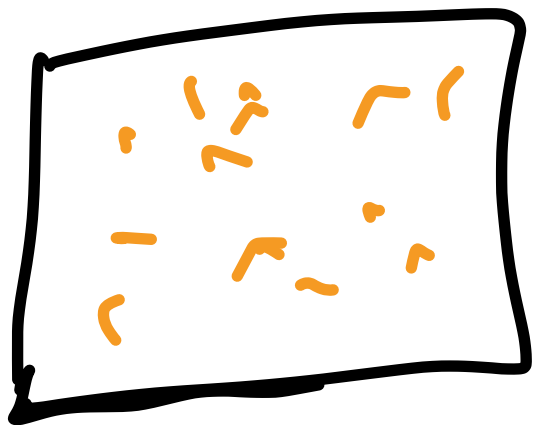
$$F = \frac{Gm_1 m_2}{r^2}$$



$$N \sim N_A$$

No external forces!

Newton's equations conserve  $E_{\text{total}}$



State:

$N, V, E$

Microcanonical ensemble

How many microstates are there

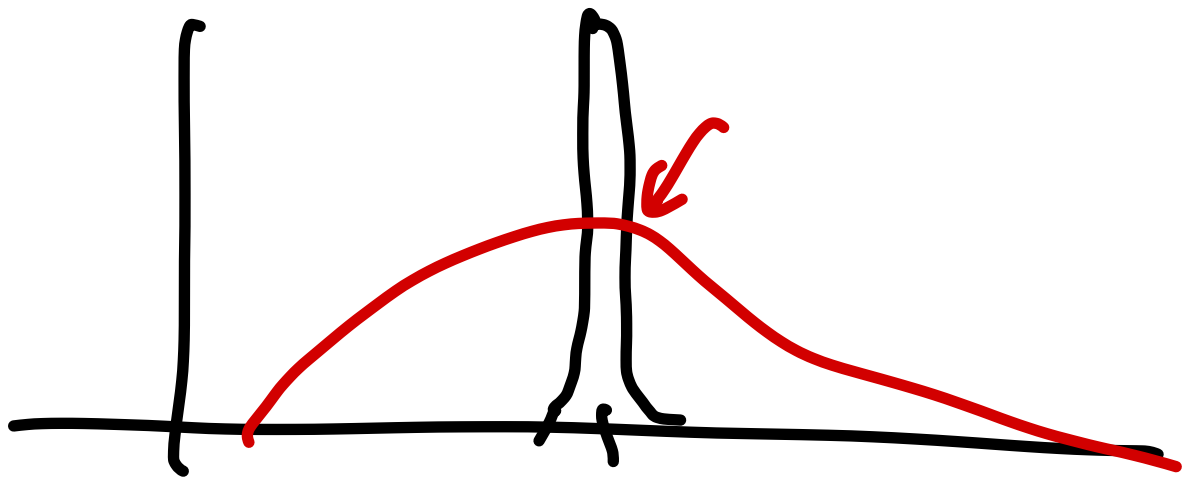
$$Z = \int d\vec{x} \delta(H(x) - \epsilon)$$

← can count particle in box

↑

number of states

$$\delta(x) \sim \begin{cases} 1 & \text{when } x = 0 \\ 0 & \text{when } x \neq 0 \end{cases}$$

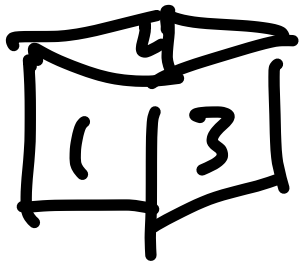


$$\int_{-\infty}^{\infty} dx \delta(x) = 1$$

$$\int_{-\infty}^{\infty} dx f(x) \delta(x-a) = f(a)$$

$$P(\vec{x}) = \begin{cases} \frac{1}{z} & \text{if } H(x) = \mathcal{E} \\ 0 & \text{if not} \end{cases}$$

$$\int P(x) d\vec{x} = 1$$



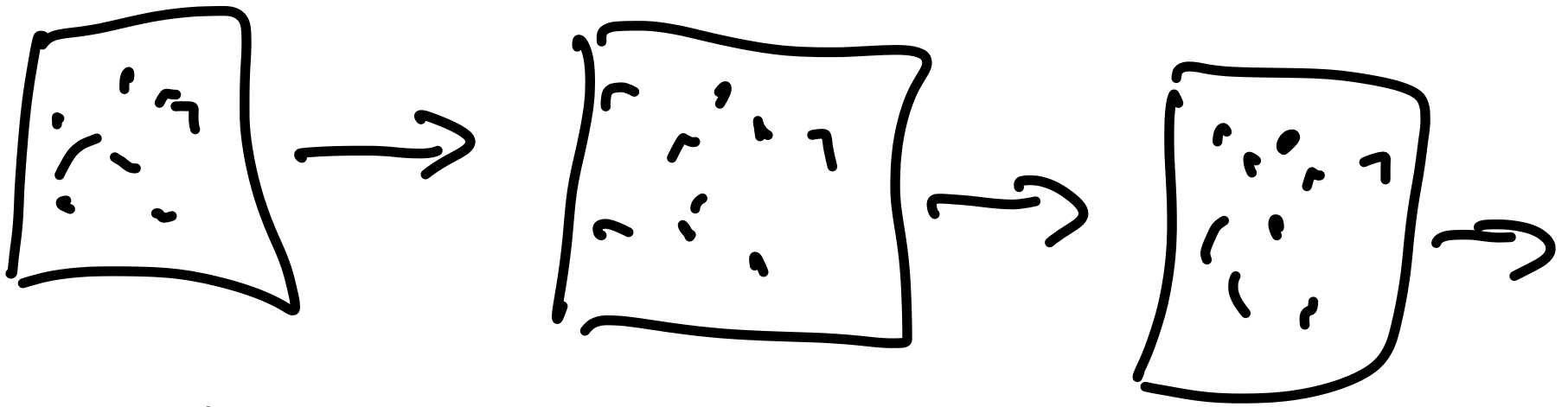
$X$  weighted die

$$P(1, \dots, 6) = \frac{1}{6}$$

$$P(1) = 5/6$$

$$P(2 \dots 6) = \frac{1}{30}$$





w/ Newton's equations

trajectory

$$X(t) \sim X(t=0), X(t=1), X(t=2)$$

$$\begin{array}{ccc} \downarrow & \downarrow & \downarrow \\ \mathcal{E} & \mathcal{E} & \mathcal{E} \end{array}$$

$\langle KE \rangle$  by thermometer  
 $\propto T$

$$\langle KE \rangle_{\text{time}} = \frac{1}{N_t} \sum_{i=1}^{N_t} KE(t)$$

if system can access all  
allowed states

assume  
 $t \rightarrow \infty$

$$\langle A \rangle_{\text{ensemble}} = \langle A \rangle_{\text{time}}$$